



#4
BIA 6-12-01
PATENT

RECEIVED

MAY 24 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tanaka et al. Examiner: Unknown
Serial No.: 09/763,385 Group Art Unit: 2651
Filed: February 21, 2001 Docket No.: 10873.654USWO

Technology Center 2800

Title: OBJECTIVE FOR OPTICAL DISK, OPTICAL HEAD DEVICE AND
OPTICAL INFORMATION RECORDING/REPRODUCING APPARATUS
BOTH COMPRISING THE SAME, MOLD FOR FORMING LENS,
METHOD FOR MACHINING MOLD FOR FORMING LENS, AND
SHAPE MEASURING INSTRUMENT

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL815521923US
Date of Deposit: May 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: 

Name: Brant Miles

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Applicant brings to the attention of the Examiner that US 5,703,862 corresponds to JP 8-334690 and US 5,889,748 corresponds to JP 9-184975.

Applicant hereby brings attention to the Examiner that the references listed were cited in an International Search Report dated October 10, 2000. A copy of the International Search Report is enclosed.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.


Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Dated: May 21, 2001

By 
Douglas P. Mueller
Reg. No. 30,300

DPM/jlc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tanaka et al.
Serial: 09/763,385
Filed: February 21, 2001
Notice of Allow. Date: N/A

Examiner: Unknown
Group Art Unit: 2651
Docket: 10873.654USWO
Batch No.: N/A

RECEIVED

MAY 24 2001

Technology Center 2600

Due Date: May 21, 2001
Title: OBJECTIVE FOR OPTICAL DISK, OPTICAL HEAD DEVICE AND OPTICAL INFORMATION RECORDING/REPRODUCING APPARATUS BOTH COMPRISING THE SAME, MOLD FOR FORMING LENS, METHOD FOR MACHINING MOLD FOR FORMING LENS, AND SHAPE MEASURING INSTRUMENT

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL815521923US

Date of Deposit: May 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: 

Brant Miles

Commissioner for Patents
Washington, D.C. 20231

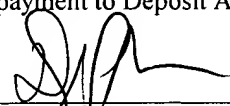
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 16 Reference(s)
- ☒ Other: International Search Report
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Douglas P. Mueller
Reg. No.: 30,300
DPM/jlc

